

09/17/01  
J1132 U.S. PTO

09-19-01  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Thereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on September 17, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898676585US**, addressed to the Commissioner for Patents, Washington, DC 20231.

*Moniega*  
Sunshine Noriega

Attorney Docket No.: XENOP004

First Named Inventor: Wallerstein

09/17/01  
J1132 U.S. PTO  
09/95505

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Edward P. Wallerstein, David Nilson, Bradley W. Rice, Raymond Fraze**

For: **IMPROVED IMAGING LENS ARRANGEMENT DESIGNED FOR LOW LIGHT CONDITIONS**

Assigned to: Xenogen Corporation

Application Elements:

- 26** Pages of Specification, Claims and Abstract
- 8** Sheets of formal Drawings
- 6** Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Do not publish this application. Nonpublication Request is attached.
- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
  - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Other:

Fee Calculation (37 CFR § 1.16)

Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	58	MINUS	20	= 38	x 18 =	684.00
INDEP.	3	MINUS	3	= 0	x 80 =	
[ ] First presentation of multiple dependent claim					\$270 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710 =	710.00
					TOTAL	1,394.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

Check No. 4705 in the amount of \$1,434.00 is enclosed.

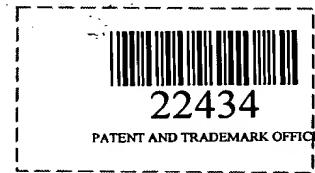
The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. XENOP004).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. XENOP004).

Please send correspondence to the following address:

Customer Number 022434  
BEYER WEAVER & THOMAS, LLP  
P.O. Box 778  
Berkeley, CA 94704-0778  
Telephone (510) 843-6200  
Fax (510) 843-6203



Date: September 17, 2001

  
Mary R. Olynick  
Registration No. 42,963

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wallerstein et al.

Attorney Docket No.: XENOP004

Application No.: To be assigned

Examiner: To be assigned

Filed: Herewith

Group: To be assigned

**Title: IMPROVED IMAGING LENS  
ARRANGEMENT DESIGNED FOR LOW  
LIGHT CONDITIONS**

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Sunshine Noriega

**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 9-17-01

  
Mary R. Olynick  
Registration No. 42,963

**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of

applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).